



ATTORNEY DOCKET NO.: 12402

PATENT APPLICATION

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Thomas L. BASCOM, et al.

Confirmation No.: 5257

Application No.: 10/090,739

Examiner: K.R. Stork

Filing Date: March 6, 2002

Group Art Unit: 2178

Title: FRAMEWORK FOR MANAGING DOCUMENT OBJECTS STORED ON A NETWORK

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is Submitted:

- ☐ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(after the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☒ under 37 CFR 1.97(d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☒ a \$180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Authorization to charge the fee required by 37 CFR 1.17(p) to Deposit Account No. **50-2849** appears in the accompanying RCE transmittal letter. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-2849** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 – Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, and English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

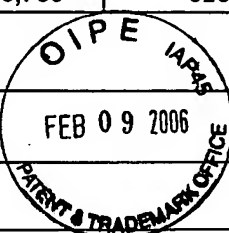
It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

ANDREWS KURTH LLP
Intellectual Property Department
1701 Pennsylvania Avenue, N.W.
Suite 300
Washington, D.C. 20006
Telephone No.: (202) 662-2700
Facsimile No.: (202) 662-2739

Sean S. Wooden
Attorney/Agent for Applicant(s)
Reg. No. 43,997

Date: **February 9, 2006**

FORM PTO 1449		ATTY. DOCKET NO. 12402		APPLICATION NO. 10/090,739		CONFIRMATION NO. 5257	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANT Thomas L. BASCOM, et al.					
		FILING DATE 3/6/2002		GROUP 2178			
REFERENCE DESIGNATION U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME		Pages, Columns, Lines Where Relevant Passages or Figures Appear	
	1A						
	1B						
	1C						
	1D						
	1E						
	1F						
	1G						
	1H						
	1I						
	1J						
	1K						
	1L						
	1M						
	1N						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT		Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1O						<input type="checkbox"/>
	1P						<input type="checkbox"/>
	1Q						<input type="checkbox"/>
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)							
	1R	Goldfarb, Charles F., et al., "The XML Handbook", Third Edition, Prentice Hall PTR, Upper Saddle River, New Jersey, (selected portions/pages only) 2001.					
	1S						
	1T						
EXAMINER				DATE CONSIDERED			